

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Tony ALBRECHT et al.

Serial No.: 10/580,969

Filed: February 26, 2007

For: Light-Emitting Semiconductor Component  
Comprising a Protective Diode

Group Art: 2891

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

Attached is a copy of the official filing receipt received from the U.S. Patent and Trademark Office in the above-identified application.

There is an error in:

- ☐ Applicants' name and/or address
- ☐ Title
- ☐ Filing Date
- ☐ Serial Number
- ☒ Priority Data (country, number)
- ☐ Incorrect Priority Date
- ☐ Other [pls specify]

which should read as follows: **Germany 10 2004 005 269.7 02/03/2004**

A copy of the filing receipt is submitted herewith on which the requested change is entered in red. Enclosed please also find a copy of the Combined Declaration showing the additional priority data.

It is respectfully requested that a corrected filing receipt be issued.

Respectfully submitted,  
COHEN PONTANI LIEBERMAN & PAVANE LLP

By: //Thomas Langer//  
Thomas Langer  
Reg. No. 27,264  
551 Fifth Avenue, Suite 1210  
New York, New York 10176  
(212) 687-2770

Dated: March 12, 2009

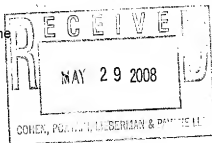


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	TOT CLAIMS	IND CLAIMS
10/580,969	02/26/2007	2891	1030	5367-237PUS	18	2

Thomas Langer  
Cohen, Pontani, Lieberman & Pavane  
551 Fifth Avenue  
Suite 1210  
New York, NY 10176



CONFIRMATION NO. 2543  
CORRECTED FILING RECEIPT



CC000000030132014

Date Mailed: 05/27/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

## Applicant(s)

Tony Albrecht, Bad Abbach, GERMANY;  
Peter Brick, Regensburg, GERMANY;  
Marc Phillippens, Regensburg, GERMANY;  
Glenn-Yves Plaine, Regensburg, GERMANY;

## Assignment For Published Patent Application

Osram Opto Semiconductors GmbH, Regensburg, GERMANY

## Power of Attorney:

Thomas Langer-27264

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/DE04/02384 10/26/2004

## Foreign Applications

GERMANY 103 56 283.4 11/28/2003

GERMANY 10 2604 005 269.7 02/03/04

If Required, Foreign Filing License Granted: 07/27/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 10/580,969

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

P0790054800

**Title**

Light-Emitting Semiconductor Component Comprising a Protective Diode

**Preliminary Class**

257

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pad/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Copy filed

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
(Includes Reference to PCT International Applications)

Attorney's Docket No.  
5367-237PUS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**LIGHT-EMITTING SEMICONDUCTOR COMPONENT COMPRISING A PROTECTIVE DIODE**

the specification of which (check only one item below)

☐ is attached hereto

☒ was filed as United States application

Serial No. 10/580,969

on May 30, 2006

and was amended

on    (if applicable).

☐ was filed as PCT international application

Number PCT/DE2004/002384

on October 26, 2004

and was amended under PCT Article 19

on    (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

**PRIOR FOREIGN/PCT APPLICATIONS AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

Country (if PCT, indicate "PCT")	Application Number	Date of Filing (day, month, year)	Priority Claimed Under 35 U.S.C. 119	
Germany	103 56 283.4	28 November 2003	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
PCT	PCT/DE2004/002384	26 October 2004	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO
Germany	10 2004 005 268.7	3 February 2004	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO

<b>COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY</b> (Includes Reference to PCT International Applications)		Attorney's Docket No. 5367-237PUS
<b>POWER OF ATTORNEY:</b> As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) at <i>Cohen, Pontani, Lieberman &amp; Pavane</i> to prosecute this application and transact all business in the Patent and Trademark Office connected therewith <b>Customer number 27799</b>		
Send correspondence to: <b>COHEN PONTANI LIEBERMAN &amp; PAVANE LLP</b> at the address for the following customer Number: <b>27799</b>		Direct Telephone calls to: (name and telephone number) Thomas Langer (212) 687-2770
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.		
201	Primary Citizenship Country::	Germany
	Given Name::	Tony
	Middle Name::	
	Family Name::	ALBRECHT
	City of Residence::	Bad Abbach
	Country of Residence::	Germany
	Street of mailing address::	Erich-Kästner-Strasse 21
	City of mailing address::	Bad Abbach
	Country of mailing address::	Germany
	Postal or Zip Code of mailing address::	93077

202	Primary Citizenship Country::	Germany
	Given Name::	Peter
	Middle Name::	
	Family Name::	BRICK
	City of Residence::	Regensburg
	Country of Residence::	Germany
	Street of mailing address::	Gertrud-von-le-Fort-Strasse 38
	City of mailing address::	Regensburg
	Country of mailing address::	Germany
	Postal or Zip Code of mailing address::	93051

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)		Attorney's Docket No. 5367-237PUS
203	Primary Citizenship Country::	Germany
	Given Name::	Marc
	Middle Name::	
	Family Name::	PHILIPPENS
	City of Residence::	Regensburg
	Country of Residence::	Germany
	Street of mailing address::	Hermann-Köhl-Strasse 20a
	City of mailing address::	Regensburg
	Country of mailing address::	Germany
	Postal or Zip Code of mailing address::	93049

204	Primary Citizenship Country::	Germany
	Given Name::	Glenn-Yves
	Middle Name::	
	Family Name::	PLAINE
	City of Residence::	Regensburg
	Country of Residence::	Germany
	Street of mailing address::	Spiegelgasse 6
	City of mailing address::	Regensburg
	Country of mailing address::	Germany
	Postal or Zip Code of mailing address::	93047

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)		Attorney's Docket No. 5367-237PUS
SIGNATURE OF INVENTOR 201 <i>Ruby H. H. H.</i>	SIGNATURE OF INVENTOR 202 <i>Glenn-Yves Plaine</i>	SIGNATURE OF INVENTOR 203 <i>Marc Philippens</i>
DATE <i>January 17<sup>th</sup> 2007</i>	DATE <i>January 17<sup>th</sup> 2007</i>	DATE <i>January 17 2007</i>
SIGNATURE OF INVENTOR 204 <i>Glenn-Yves Plaine</i>	SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206
DATE <i>January 17<sup>th</sup> 2007</i>	DATE	DATE
Additional inventor(s) name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		